

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X  
LOGAN CHENG f/k/a Shuiyan Cheng, an individual, :  
: Case No. 1:20-cv-05678-KPF  
: Plaintiff,  
: :  
: -against-  
: ATTACHMENT TO MOTION  
: FOR ADMISSION *PRO HAC  
VICE OF MARC J. RANDAZZA*  
WENGUI GUO, an individual :  
: Defendant.  
-----X

I, Marc J. Randazza (“Applicant”), hereby state and depose as follows:

Applicant is licensed in the following jurisdictions, including federal courts and is an active member in good standing in each of those jurisdictions as follows: SEE ATTACHED LIST, Exhibit 1.

Applicant has never been convicted of a felony.

Applicant is a resident of the State of Nevada and maintains an office address at:

RANDAZZA LEGAL GROUP, PLLC  
2764 Lake Sahara Drive, Suite 109  
Las Vegas, Nevada 89117

Applicant earned his J.D. in 2000 from Georgetown University Law Center, a Master of Arts in Mass Communications (MAMC) in 2003 from the University of Florida, and an LL.M. in International Intellectual Property Law in 2014 from Università di Torino Facoltà di Giurisprudenza. Applicant is the managing member of Randazza Legal Group and his primary practice areas are in First Amendment litigation, trademark and copyright matters, and employment disputes.

Applicant has read and is familiar with:

- (A) the provisions of the Judicial Code (Title 28, U.S.C.) which pertain to the jurisdiction of, and practice in, the United States District Courts;
- (B) the Federal Rules of Civil Procedure;

- (C) the Federal Rules of Criminal Procedure;
- (D) the Federal Rules of Evidence;
- (E) the Local Rules of the United States District Courts for the Southern and Eastern Districts of New York; and
- (F) the New York State Rules of Professional Conduct as adopted from time to time by the Appellate Divisions of the State of New York.

Applicant will faithfully adhere to all rules applicable to applicant's conduct in connection with any activities in this Court.

Applicant has never been disbarred or denied admission or readmission by any court, except that Applicant was denied admission *pro hac vice* in the Connecticut Superior Court while disciplinary proceedings related to the matters described below were pending. Applicant has been censured and/or suspended by the Bar or courts of certain jurisdictions in which Applicant is licensed, and Applicant describes such actions as follows:

Applicant was disciplined by one jurisdiction (Nevada) and then subject to reciprocal discipline for conduct of nearly nine years ago. The last jurisdiction to enter reciprocal discipline was Florida, which instead of merely adopting the underlying Nevada stipulated discipline, engaged in its own full fact finding and issued a full independent opinion after a bench trial. The Florida Referee's decision is attached as Exhibit 2. The factfinder determined that Applicant did not do anything "actually adverse" to his clients. *See id.* at 6. And there were no "factors in aggravation warranting any discipline in excess of that imposed by Nevada." *Id.* at 8.

In 2018, Applicant was disciplined by the Supreme Court of Nevada for conduct that occurred in 2012. *See Exhibit 3, In re Marc J. Randazza*, Bar No. 12265, No. 76543 (Nev. Oct. 10, 2018) (Order Approving Conditional Guilty Plea) and Exhibit 4 (Conditional Guilty Plea). The stipulated and implemented discipline was a twelve-month suspension, stayed for eighteen months, with certain other conditions. *See Exhibits 3 & 4.*

The Nevada discipline arose from a negotiated agreement between Applicant and the Southern Nevada Disciplinary Board. The stipulated facts forming the basis of the discipline are as set forth in the enclosed Conditional Guilty Plea.

As set forth in the Conditional Guilty Plea, the discipline arose from a specific circumstance where Applicant represented a company as in-house counsel, along with its sister entity. Those circumstances will not repeat themselves as Applicant is not in-house counsel for any clients and Applicant now recognizes where he misunderstood his ethical obligations.

Applicant fully complied with the State of Nevada discipline and completed his Nevada probation. *See Exhibit 5*, Letter from State Bar of Nevada.

Reciprocal discipline in the nature of a probation or stayed suspension was imposed by the State of Arizona, the State of Florida, the Commonwealth of Massachusetts, and the State of California. *See Exhibits 6, 2, 7 and 8*. Applicant fully completed the terms of discipline in Arizona, California, and Massachusetts and no suspensions were imposed. *See Exhibits 9 & 10*. Among its requirements, California required Applicant to retake the MPRE, wherein he scored a 119. *See Exhibit 11*.

Reciprocal discipline of probation or a stayed suspension was imposed by the U.S. District Courts for the District of Massachusetts and for the Southern District of Florida (which probation expired before the discipline issued), and by the U.S. Patent & Trademark Office. *See Exhibits 12, 13, and 14*. The District of Massachusetts terminated proceedings on June 23, 2020, without imposing a suspension. *See Exhibit 15*. The discipline imposed by the PTO expired on January 23, 2021.

Reciprocal discipline in the nature of a brief active suspension was imposed by the U.S. District Court for the District of Nevada, which expired on April 10, 2020. *See Exhibit 16*. Applicant was reinstated on June 9, 2020. *See Exhibit 17*.

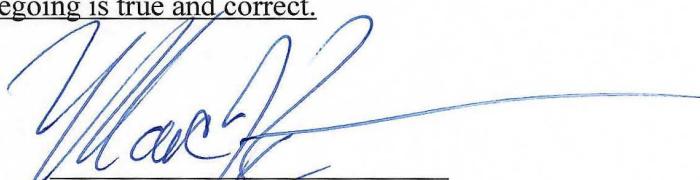
The U.S. Court of Appeals for the Federal Circuit stayed all proceedings pending the completion of the Nevada discipline and terminated proceedings on May 28, 2020 without imposing discipline. *See Exhibit 18*.

Every other state court, Federal court, or Federal agency to issue a determination in response to the Nevada state discipline has either declined to take action, stayed all proceedings, or issued a stayed suspension or probation, or has readmitted Applicant.

Applicant is aware of no other matters in which he has been sanctioned or disciplined.

I declare under penalty of perjury the foregoing is true and correct.

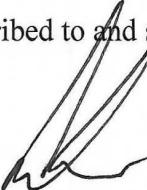
Dated: August 10, 2021



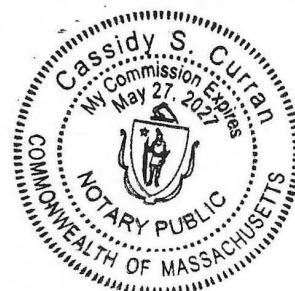
Marc J. Randazza  
Applicant

Sate of Massachusetts, County of Essex

Subscribed to and sworn to before me on this 10<sup>th</sup> day of August, 2021



Notary Public  
My commission expires: May 27, 2027



## **Exhibit 1**

Marc J. Randazza Admissions List

## Marc J. Randazza, Esq.

### State Bar Admissions

<u>State</u>	<u>Date of Admission</u>	<u>Bar No.</u>	<u>Good Standing</u>
Commonwealth of Massachusetts	01/24/2002	651477	Yes
State of Florida	03/25/2003	625566	Yes
State of California	05/20/2010	269535	Yes
State of Arizona	08/26/2010	027861	Yes
State of Nevada	01/06/2012	12265	Yes

### Federal Court Admissions

<u>Title of Court</u>	<u>Date of Admission</u>	<u>Good Standing</u>
Supreme Court of the United States	02/28/2005	Yes
U.S. Court of Appeals for the First Circuit	05/08/2003	Yes
U.S. Court of Appeals for the Second Circuit	08/14/2018	Yes
U.S. Court of Appeals for the Fourth Circuit	11/06/2015	Yes
U.S. Court of Appeals for the Sixth Circuit	07/30/2013	Yes
U.S. Court of Appeals for the Seventh Circuit	11/06/2009	Yes
U.S. Court of Appeals for the Ninth Circuit	09/04/2009	Yes
U.S. Court of Appeals for the Tenth Circuit	11/03/2011	Yes
U.S. Court of Appeals for the Eleventh Circuit	06/20/2003	Yes
U.S. Court of Appeals for the Federal Circuit	09/01/2006	Yes
U.S. District Court for the District of Massachusetts	06/12/2002	Yes
U.S. District Court for the Northern District of Florida	05/17/2005	Yes
U.S. District Court for the Middle District of Florida	06/09/2003	Yes
U.S. District Court for the Southern District of Florida	08/04/2006	Yes
U.S. District Court for the Northern District of Texas	11/12/2009	Yes
U.S. District Court for the Eastern District of California	06/08/2010	Yes
U.S. District Court for the Southern District of California	06/08/2010	Yes
U.S. District Court for the Central District of California	06/08/2010	Yes
U.S. District Court for the Northern District of California	06/22/2010	Yes
U.S. District Court for the District of Arizona	10/19/2010	Yes
U.S. District Court for the District of Colorado	03/28/2011	Yes
U.S. District Court for the District of Nevada	02/01/2012	Yes
U.S. District Court for the Eastern District of Wisconsin	06/18/2010	Yes
U.S. District Court for the Northern District of Ohio	02/13/2012	Yes
U.S. District Court for the Eastern District of Michigan	06/30/2009	Yes

## **Exhibit 2**

The Florida Bar Referee's Report and Supreme Court Order

IN THE SUPREME COURT OF FLORIDA  
(Before a Referee)

THE FLORIDA BAR,

Complainant,

v.

MARC JOHN RANDAZZA,

Respondent.

Supreme Court Case  
No. SC19-188

The Florida Bar File  
No. 2020-00,216(2B) NFC

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**REPORT OF REFEREE**

I. **SUMMARY OF PROCEEDINGS**

Pursuant to the undersigned being duly appointed as referee to conduct disciplinary proceedings herein according to Rule 3-7.6, Rules of Discipline, the following proceedings occurred:

This is a reciprocal discipline case. The Respondent filed The Notice of Discipline by a Foreign Jurisdiction (Nevada) in the Florida Supreme Court and had as attachments the Nevada Order Approving a Conditional Guilty Plea and the underlying Conditional Guilty Plea. Respondent's Exhibit 3; Tr. Vol. II, page 235, lines 8-10. On January 6, 2019, The Florida Bar filed its Formal Complaint against Respondent. On February 19, 2019, The Florida Bar noticed respondent that a case Management Conference would be conducted by the referee on March 8, 2019. On May 3, 2019, the referee commenced the Final Hearing in this matter.

Mr. Fisher, counsel for The Florida Bar, Mr. Weiss, counsel for the Respondent and the Respondent, Mr. Randazza, were present. Needing additional time to conclude the Final Hearing, the parties rescheduled the second day. Due to events beyond everyone's control, the Final Hearing was rescheduled again. The second day of the Final Hearing convened on January 8, 2020. All items properly filed including pleadings and exhibits in evidence and the report of referee constitute the record in this case and are forwarded to the Supreme Court of Florida.

## II. FINDINGS OF FACT

Jurisdictional Statement. Respondent is, and at all times mentioned during this investigation was a member of The Florida Bar subject to the jurisdiction and Disciplinary Rules of the Supreme Court of Florida.

### Narrative Summary Of Case.

Because this is a reciprocal discipline action, it is based on the Findings of Fact, Conclusions of Law and Recommendation of the Southern Nevada Disciplinary Board of the State Bar of Nevada dated July 10, 2018 and Order Approving Conditional Guilty Plea Agreement of the Supreme Court of the State of Nevada, dated October 10, 2018. The Supreme Court of the State of Nevada imposed a 12 month suspension, stayed for 18 months of probation subject to conditions. Those findings of fact and Order are attached to The Florida Bar's Formal Complaint.

In or about June 2009, the Respondent drafted and signed a Legal Services Agreement with Excelsior Media Corp. (Excelsior) which provided that the Respondent would become “in-house” general counsel. The Agreement did not prohibit the Respondent from maintaining ad private practice or providing legal services to other clients so long as there was no conflict of interest with Excelsior. Excelsior had a subsidiary or affiliate company, Liberty Media Holdings, LLC (Liberty), which engaged in the production and distribution of pornography. The Respondent provided legal services to both entities, but did not have a separate agreement with Liberty.

In February 2011, Excelsior relocated its corporate offices to Las Vegas, Nevada. The Respondent followed in June 2011 and was admitted to the Nevada Bar in January 2012. Until his admission, the Respondent did not engage in the practice of law in the State of Nevada, except as a member of the bar of the U.S. District Court for the District of Nevada.

On or about June 20, 2012, the Respondent filed a lawsuit against FF Magnat Limited d/b/a Oron.com (Oron) for alleged violations of Liberty’s intellectual property. On or about June 21, 2012, the Respondent obtained and injunction against Oron freezing certain accounts and funds of Oron. On July 1, 2012, the Respondent and attorneys for Oron signed a Settlement Letter with regard to the Oron litigation and a similar case between the two parties in Hong

Kong. The parties agreed that Oron would pay Liberty \$550,000 payable to the Respondent's trust account. A dispute arose after the Settlement Letter was signed. Liberty filed a Motion to Enforce the settlement letter which the United States District Court granted on August 7, 2012. Liberty obtained a Judgment against Oron for \$550,000. As part of the Post-Judgment settlement negotiations, Oron informed the Respondent that it wanted to enter into an agreement to retain the Respondent for bona fide legal services. Specifically, Oron wanted the Respondent to advise it how to avoid this type of litigation in the future and how to restructure the company so as to not be subject to jurisdiction in the United States.

Tr. II, page 215, lines 2-25, 216, lines 1-14. Subject to the agreement of Liberty and its execution of a Post-Judgment agreement, the Respondent negotiated a separate agreement with Oron whereby he would receive \$75,000 of Oron's frozen funds. On August 6, 2012, while still in litigation representing Excelsior against Oron, the Respondent executed a \$75,000 non-refundable, "earned upon receipt retainer" to represent FF Magnat, the parent company of Oron. Tr. II, page 254, lines 17-28 and page 258, lines 8-14, The retainer agreement clearly stated that it could not take effect unless and until Liberty's dispute with Oron was fully resolved. See Transcript, Vol. II, at 215:20-216:1 & 239:25-240:7. The Respondent presented and subsequently discussed the Post-Judgment Agreement, which included payment of the \$550,000 and the \$75,000 retainer for future

services with Oron, with the CEO of Liberty, Mr. Gibson. The agreement was never consummated because Mr. Gibson disapproved it. Tr. II, page 214-216, line 14; 217, lines 3-7. The Respondent did not receive the retainer fee of \$75,000.

On August 21, 2012, the Court ordered Pay Pal, Inc. to transfer \$550,000 of Oron funds to the Randazza Legal Group trust account. A full and proper accounting occurred with Liberty receiving its share.

Concurrent with the United States litigation between Liberty and Oron, the Respondent and Mr. Gibson also discussed pursuing litigation against Oron and/or its affiliates in Hong Kong. The Respondent estimated those costs, excluding attorney's fees, to be approximately \$50,000. Mr. Gibson said Liberty to advance \$25,000 if the Respondent would personally advance \$25,000. The Respondent agreed and requested and Liberty executed a promissory note to him for \$25,000. The Respondent did not advise Liberty, in writing, to seek the advice of independent counsel regarding the promissory note. The Respondent and Excelsior parted ways on or about August 29, 2012. They dispute whether he resigned or was terminated.

Following his departure from Excelsior, the Respondent engaged in litigation against Excelsior, Liberty and Jason Gibson, individually over among other things money he alleged was owed to him by his former employers. The parties submitted the matter to arbitration. After a five day trial, the Arbitrator

issued an Interim Arbitration award (IAA) in favor of the employers and against the Respondent. The Florida Bar's Exhibit 1. The Referee does not give any weight to the IAA for several reasons. First, the IAA was vacated in its entirety, after a court refused to confirm it, by voluntary agreement and by order of a court of competent jurisdiction. Respondent's Exhibit 12; Tr. Vol. II, page 196, lines 18-23. Second, the burden of proof for the IAA is a preponderance of the evidence, not the clear and convincing standard as required by these proceedings. Third, the Arbitrator's findings that the Respondent engaged in unethical conduct based on testimony and evidence that occurred over a five day trial and that has not been presented or observed by this Referee are of little weight. The IAA was not a basis for any discipline by the State Bar of Nevada. While the Referee may be sympathetic to the amount of time, energy and money that the Respondent's employers spent to litigate the IAA issues, sympathy should play no part in the Referee's recommendation.

The Florida Bar argued and presented evidence of other alleged conflicts of interest engaged in by the Respondent when he represented other clients whose interests were allegedly adverse to Excelsior or Liberty. There is no clear and convincing evidence to suggest that anything the Respondent may have done on behalf of his other clients was actually adverse to Excelsior or Liberty. The Respondent testified it was not. Tr. II, page 203, line 1-204, line 24 and 246, line

4-248, line 7. The Respondent was authorized under his employment agreement to do so. Tr. Vol. II, page 198: 23-25. The Florida Bar's witness, Mr. Dunlap, offered no examples of a single matter where such representation was adverse. Tr. Vol. II, page 281, line 23-284, line 23. The only instance in which there was a conceivable conflict was with respect to the representation of XVideos, but as soon as a potential conflict arose, Respondent acted to withdraw and the conflict never ripened. Tr. Vol. II, page 248, lines 1-7. Mr. Dunlap's testimony regarding 10 Group fails to show that the Respondent represented 10 Group in that transaction. There was no conflict of interest where he briefly represented 10 Group regarding an unrelated litigation matter. The Nevada bar investigated these conflicts and no such conflicts were found. Tr. Vol. II, page 265, lines 3-11.

Since the imposition of the Nevada Order, every other state in which Respondent is admitted has issued reciprocal discipline tracking the Nevada Order. Specifically:

1. Arizona: The Presiding Disciplinary Judge issued a Final Judgment and Order of Reprimand and Probation placing Mr. Randazza on 18 months of probation, concurrent with the Nevada discipline. Respondent's Exhibit 4; Tr. Vol. II, page 189, line 11-13.

2. California: The Supreme Court of California issued a one-year suspension, stayed for one year, to be terminated upon satisfying the terms of the stay, which includes quarterly reporting and passing the MPRE. Respondent's Exhibit 9; Tr. Vol. II, page 188, lines 15-24

3. Massachusetts: The Supreme Judicial Court issued an Order of Term Suspension/Stayed, suspending Mr. Randazza for 12 months, stayed for 18

months, retroactive to the date of the Nevada discipline, with the suspension to be lifted upon compliance with the Nevada Order. Respondent's Exhibit 10; Tr. Vol. II, page 189, lines 5-10.

None of these jurisdictions found any factors in aggravation warranting any discipline in excess of that imposed by Nevada. Tr. Vol. II, page 224, line 22-225,, line 11. To date, Respondent's right to practice law has not been suspended.

Additionally, proceeding in the Federal Appellate Courts (10<sup>th</sup>, 11<sup>th</sup> and Federal Circuit) all deferred to the Nevada Order. Respondent's Exhibit 4. The Supreme Court of the United States and the U.S. Courts of Appeals for the 1<sup>st</sup>, 2<sup>nd</sup>, 4<sup>th</sup>, 6<sup>th</sup>, 7<sup>th</sup> and 9<sup>th</sup> Circuits to date, have not taken any action despite timely notice. Tr. Vol. II, page 241, lines 2-9.

Despite being put on notice of the Nevada Order, the U.S. District Courts for the Northern & Middle Districts of Florida, the Northern District of Texas, the Northern, Central, Eastern, & Southern Districts of California, the Eastern District of Wisconsin, the Eastern District of Michigan, the Northern District of Ohio, and the District of Montana (admitted pro hac vice) have taken no action toward reciprocal discipline. Tr. Vol. II, page 241, lines 2-9. The matter was referred to an investigatory subcommittee of the Ad Hoc Committee on Attorney Admissions, Peer Review and Attorney Grievance of the U.S. District Court for the Southern District of Florida following Respondent's response to a show cause order. Since the Final Hearing, on May 8, 2020, the U.S. District Court for the Southern District

of Florida adopted the disciplinary measures imposed by Nevada. See In re: Marc John Randazza, Case No. 18 MC 25230 (S.D. Fla. Feb. 6, 2019). This document is of record pursuant to the granting of the Respondent's Motion to Supplement the Record. The U.S. District Court for the District of Massachusetts, upon the consent of Respondent, imposed reciprocal discipline in the nature of a 12-month suspension, stayed for 18 months, retroactive to October 10, 2018, conditioned upon compliance with the Nevada Order. See Respondent's Exhibit 4, In re: Marc. J Randazza, Misc. Bus. Dict. No. 18-mc-81490-FDS (D. Mass. sept. 26, 2019).

Only the U.S. District Court for the District of Nevada, which did not act on the prompt notice of the Nevada Order until September 2019, issued an order placing the Respondent on active suspension until the expiration of the Nevada state probation, subject to reinstatement upon discharging all conditions. See In re: Marc J. Randazza, Case No. 2: 19-cv-01765-MMD (D. Nev. Oct. 22, 2019). The reason given was that the court had "neither the obligation, resources, nor inclination to monitor Mr. Randazza's compliance with the probationary conditions the [Nevada Supreme Court] imposed on him." Id. at 1; Tr. Vol. II, page 241, lines 10-14.

Finally, the Referee does not find persuasive the numerous character letters submitted by the Respondent nor the testimony of his character witnesses, who were unfamiliar with the facts surrounding his discipline. Like the IAA award, the

Referee does not give any weight to these letters whether sent directly to the Referee's chambers or filed as an exhibit.

**III. RECOMMENDATIONS AS TO GUILT.**

I recommend that Respondent be found guilty of violating the following Rules Regulating The Florida Bar: 4-1.8(a) and Rule 4-5.6(b).

**STANDARDS FOR IMPOSING LAWYER SANCTIONS**

I considered the following Standards prior to recommending discipline: 3.0, 4.3, 9.1, 9.2 and 9.3.

**IV. RECOMMENDATION AS TO DISCIPLINARY MEASURES TO BEAPPLIED**

I recommend that Respondent be found guilty of misconduct justifying disciplinary measures, and that he be disciplined by:

A. One year of probation with a public reprimand and that the Respondent successfully complete the thirty hours of Florida continuing legal education ethics hours, and

B. Payment of The Florida Bar's costs in these proceedings.

**V. PERSONAL HISTORY, PAST DISCIPLINARY RECORD**

Prior to recommending discipline pursuant to Rule 3-7.6(m)(1)(D), I considered the following:

Age: 50 Years of Age.

Date of Bar Admission: March 3, 2003

Prior Discipline: None

Aggravating Factors: Standard 9.2

- (d) multiple offenses; and
- (i) substantial experience in the practice of law.

Mitigating Factors:

- (a) absence of a prior disciplinary record.

VI. STATEMENT OF COSTS AND MANNER IN WHICH COSTS SHOULD BE TAXED

I find the following costs were reasonably incurred by The Florida Bar:

Investigative Costs	\$105.25
Administrative Costs	\$1250.00
Court Reporter's Fees	\$1532.00
Total	\$2887.25

It is recommended that such costs be charged to Respondent and that interest at the statutory rate shall accrue and be deemed delinquent 30 days after the judgment in this case becomes final unless paid in full or otherwise deferred by the Board of Governors of The Florida Bar.

Dated this 7th day of July 2020.

/S/ Dawn Caloca-Johnson  
Dawn Caloca-Johnson, Referee  
301 South Monroe Street  
Tallahassee, FL 32301-1861

Originals To:

Clerk of the Supreme Court of Florida; Supreme Court Building; 500 South Duval Street, Tallahassee, Florida, 32399-1927

Conformed Copies to:

James Keith Fisher, Bar Counsel, at [jfisher@floridabar.org](mailto:jfisher@floridabar.org), and

John A. Weiss, Counsel for Respondent, at [jweiss@rumberger.com](mailto:jweiss@rumberger.com)

# Supreme Court of Florida

THURSDAY, SEPTEMBER 3, 2020

CASE NO.: SC19-188

Lower Tribunal No(s).:  
2015-00,718(2B)

THE FLORIDA BAR

vs. MARC JOHN RANDAZZA

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Complainant(s)

Respondent(s)

The Court approves the uncontested referee's report and reprimands respondent.

Respondent is further placed on probation for one year under the terms and conditions set forth in the report. Respondent shall comply with all other terms and conditions in the report.

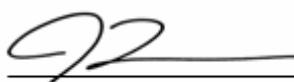
Judgment is entered for The Florida Bar, 651 East Jefferson Street, Tallahassee, Florida 32399-2300, for recovery of costs from Marc John Randazza in the amount of \$2,887.25, for which sum let execution issue.

NOT FINAL UNTIL TIME EXPIRES TO FILE REHEARING MOTION AND,  
IF FILED, DETERMINED.

CANADY, C.J., and POLSTON, LABARGA, LAWSON, MUÑIZ, and COURIEL, JJ., concur.

A True Copy

Test:

  
John A. Tomasino  
Clerk, Supreme Court



ca

Served:

LESLIE LAGOMASINO BAUM  
HON. DAWN CALOCA-JOHNSON, JUDGE  
PATRICIA ANN TORO SAVITZ

JAMES KEITH FISHER  
JOHN A. WEISS

## **Exhibit 3**

Order Approving Conditional Guilty Plea, *In re Marc J. Randazza*, Bar No. 12265, No. 76543  
(Nev. Oct. 10, 2018)

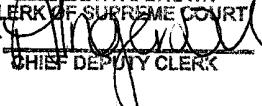
IN THE SUPREME COURT OF THE STATE OF NEVADA

IN THE MATTER OF DISCIPLINE OF  
MARC J. RANDAZZA, BAR NO. 12265.

No. 76453

**FILED**

OCT 10 2018

ELIZABETH A. BROWN  
CLERK OF SUPREME COURT  
BY   
CHIEF DEPUTY CLERK

***ORDER APPROVING CONDITIONAL GUILTY PLEA AGREEMENT***

This is an automatic review of a Southern Nevada Disciplinary Board hearing panel's recommendation that this court approve, pursuant to SCR 113, a conditional guilty plea agreement in exchange for a stated form of discipline for attorney Marc J. Randazza. Under the agreement, Randazza admitted to violating RPC 1.8(a) (conflict of interest: current clients: specific rules) and RPC 5.6 (restrictions on right to practice) in exchange for a 12-month suspension, stayed for a period of 18 months subject to conditions.

Randazza has admitted to the facts and the violations alleged in two counts set forth in the amended complaint.<sup>1</sup> The record therefore establishes that Randazza violated the above-listed rules by loaning money to his client without informing the client in writing of the desirability of obtaining independent counsel, and by negotiating with opposing counsel to receive, as part of a settlement, a retainer for future legal services.

As Randazza admitted to the violations as part of the plea agreement, the issue for this court is whether the agreed-upon discipline

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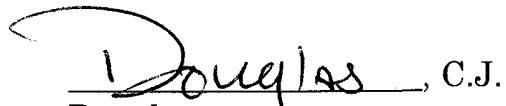
<sup>1</sup>In exchange for Randazza's guilty plea, the State Bar agreed to dismiss the remaining seven counts in the amended complaint.

sufficiently protects the public, the courts, and the legal profession. *State Bar of Nev. v. Claiborne*, 104 Nev. 115, 213, 756 P.2d 464, 527-28 (1988) (explaining purpose of attorney discipline). In determining the appropriate discipline, we weigh four factors: “the duty violated, the lawyer’s mental state, the potential or actual injury caused by the lawyer’s misconduct, and the existence of aggravating and mitigating factors.” *In re Discipline of Lerner*, 124 Nev. 1232, 1246, 197 P.3d 1067, 1077 (2008).

Randazza has admitted to violating duties owed to his client (conflict of interest) and the legal profession (restrictions on right to practice), and the admitted facts reflect that the misconduct was knowing. His conduct may have caused a delay in the disbursement of settlement funds to his client. The baseline sanction for both rule violations, before considering aggravating and mitigating circumstances, is suspension. Standards for Imposing Lawyer Sanctions, *Compendium of Professional Responsibility Rules and Standards*, Standard 4.32 (Am. Bar Ass’n 2017) (providing that suspension is appropriate when a lawyer “knows of a conflict of interest and does not fully disclose to a client the possible effect of that conflict, and causes injury or potential injury to a client”); *id.* Standard 7.2 (providing that suspension is appropriate when a lawyer “knowingly engages in conduct that is a violation of a duty owed as a professional and causes injury or potential injury to a client, the public, or the legal system”). The record supports one aggravating circumstance (substantial experience in the practice of law) and three mitigating circumstances (absence of prior disciplinary record, full and free disclosure to disciplinary authority or cooperative attitude toward proceeding, and delay in disciplinary proceedings). Considering all the factors, we conclude that the agreed-upon discipline is appropriate.

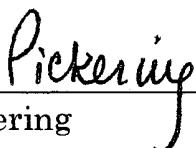
Accordingly, we hereby suspend Marc J. Randazza for 12 months, stayed for 18 months commencing on the date of this order, subject to the following conditions: (1) Randazza shall "stay out of trouble" during the probationary period, "meaning that he will have no new grievance arising out of conduct post-dating the date of the plea which results in the imposition of actual discipline (a Letter of Reprimand or above, SCR 102) against him"; (2) he shall successfully complete 20 hours of CLE in ethics in addition to his normal CLE requirements during the probationary period; (3) he shall seek the advice and approval of an independent and unaffiliated ethics attorney in the relevant jurisdiction before obtaining any conflicts of interest waivers during the probationary period; and (4) he shall pay the actual costs of the disciplinary proceeding, including \$2,500 under SCR 120, within 30 days of this court's order, if he has not done so already. The State Bar shall comply with SCR 121.1

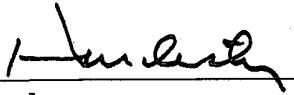
It is so ORDERED.

  
Douglas, C.J.

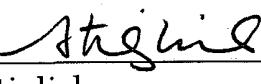
  
Cherry, J.  
Cherry

  
Gibbons, J.

  
Pickering, J.  
Pickering

  
Hardesty, J.  
Hardesty

  
Parraguirre, J.  
Parraguirre

  
Stiglich, J.  
Stiglich

cc: Chair, Southern Nevada Disciplinary Panel  
Gentile, Cristalli, Miller, Armeni & Savarese, PLLC  
Bar Counsel, State Bar of Nevada  
Kimberly K. Farmer, Executive Director, State Bar of Nevada  
Perry Thompson, Admissions Office, U.S. Supreme Court

## **Exhibit 4**

Conditional Guilty Plea, *In re Marc J. Randazza*, Bar No. 12265, No. 76543  
(Nev. June 5, 2018)



FILED

JUN 05 2018

STATE BAR OF NEVADA  
BY: Sheri.  
OFFICE OF BAR COUNSEL

SOUTHERN NEVADA DISCIPLINARY BOARD

STATE BAR OF NEVADA, )  
                            )  
                            )  
Complainant,           )  
                            )  
vs.                     )  
                            )  
MARC J. RANDAZZA, ESQ., )  
                            )  
                            )  
Nevada Bar No. 012265, )  
Respondent.            )

CONDITIONAL GUILTY PLEA  
IN EXCHANGE FOR A STATED  
FORM OF DISCIPLINE

Marc J. Randazza ("Respondent"), Bar No. 012265 hereby tenders to Assistant Bar Counsel for the State Bar of Nevada a Conditional Guilty Plea ("Plea") pursuant to Supreme Court Rule ("SCR") 113(1) and agrees to the imposition of the following Stated Form of Discipline in the above-captioned cases.

I.  
CONDITIONAL GUILTY PLEA

Through the instant Plea, Respondent agrees and admits as follows:

1. Respondent is now and at all times since January 6, 2012 was a licensed attorney in the State of Nevada.

2. The State Bar filed a Formal Complaint on the above referenced case on January 25, 2016. Thereafter, the State Bar filed an Amended Complaint on December 16, 2016. Respondent filed various Motions to Dismiss the Amended Complaint and then ultimately filed a Verified Response to the Amended Complaint on October 23, 2017.

3. In accordance with the Stipulation of Facts herein, Respondent pleads guilty and admits that he violated Rules of Professional Conduct ("RPC") as follows:

**II.**  
**STIPULATION OF FACTS**

The facts stipulated to and agreed upon between Respondent and the State Bar of Nevada in support of this conditional plea are as follows:

1. Respondent is now a licensed attorney in the states of Nevada, California, Florida, Arizona, and Massachusetts. Respondent became licensed in the State of Nevada on or about January 6, 2012 and has been assigned Bar No. 12265.

2. In or about June 2009, Respondent entered into an agreement with Excelsior Media Corp ("Excelsior") which provided, among other things, that Respondent would become in-house general corporate counsel for Excelsior ("Legal Services Agreement"). The Legal Services Agreement did not prohibit Respondent from also maintaining a private legal practice to provide legal services to clients other than Excelsior.

3. At the time the Legal Services Agreement was entered into, Excelsior was headquartered in California and Respondent was licensed to practice law in the State of Florida. For a period of time following execution of the Legal Services Agreement, Respondent relocated to California, obtained admission to the State Bar of California, and maintained his primary office to perform legal work for Excelsior in California.

4. At the time the Legal Services Agreement was entered into, Excelsior had a subsidiary or affiliate called Liberty Media Holdings, LLC ("Liberty"). Liberty was engaged in the business of production and distribution of pornography. After entering into the Legal Services Agreement, Respondent provided legal services to both Excelsior and Liberty, although no separate agreement was entered into by and between Liberty and Respondent.

5. In or about February 2011, Excelsior relocated its corporate headquarters to Las Vegas, Nevada. In or about June 2011, Respondent relocated to Las Vegas, Nevada and continued working as general corporate counsel for Excelsior. Prior to June 2011, Respondent was not

engaged in the practice of law in the State of Nevada in any capacity, except to the extent such was in his capacity as a member of the bar of the U.S. District Court for the District of Nevada.

6. At the direction of Excelsior, Respondent pursued violations of Liberty's intellectual property rights by third parties through his separate law firm.

7. On or about June 20, 2012, Respondent, on behalf of Liberty, filed a lawsuit in US District Court, District of Nevada against FF Magnat Limited d/b/a Oron.com ("Oron") for alleged violations of Liberty's intellectual property. See Case No. 2:12-cv-01057-GMN-RJJ (hereinafter "Oron Litigation").

8. On or about June 21, 2012, Respondent obtained an injunction in the Oron Litigation freezing certain accounts and funds belonging to Oron.

9. On July 1, 2012, Respondent and attorneys for Oron signed a letter memorializing settlement terms in regards to the Oron Litigation and a similar case between the two parties in Hong Kong (hereinafter "Settlement Letter"). An essential part of the Settlement Letter was that Oron would pay Liberty the sum of \$550,000 with said sum payable to Respondent's Attorney-Client Trust Account.

10. A dispute arose after the Settlement Letter was signed. On behalf of Liberty, Respondent filed a Motion to Enforce Settlement.

11. By Order dated August 7, 2012, the United States District Court found that the Settlement Letter constituted an enforceable contract as there was a "meeting of the minds as to all material terms on July 5, 2012." A Judgment was entered in the docket of the above-entitled Court in favor of Liberty as Judgment Creditor and against Oron as Judgment Debtor for \$550,000.00.

12. By Order dated August 21, 2012, the United States District Court ordered PayPal, Inc., to transfer funds belonging to Oron to satisfy the Judgment by paying \$550,000.00 to the trust account of Randazza Legal Group.

1           13. Between August 7, 2012 and August 13, 2012, Respondent and Oron continued  
2 discussions regarding reducing the terms of the Settlement Letter and the Judgment into a more  
3 definitive written agreement although the District Court had already enforced the settlement and  
4 reduced the \$550,000.00 settlement amount ("Settlement Amount") to judgment ("Post-Judgment  
5 Discussions").

6           14. During the Post-Judgment Discussions, Oron informed Respondent that it wanted  
7 to enter into an agreement to retain Respondent for bona fide legal services, which would have the  
8 practical effect of potentially conflicting off Respondent from ever representing a client in  
9 litigation against Oron in the future.

10          15. Subject to the agreement of Liberty and Liberty's execution of a written agreement,  
11 Respondent negotiated a separate agreement with Oron whereby \$75,000 of Oron's frozen funds  
12 would be released to Oron's counsel with the understanding, but no guarantee, that such funds  
13 would be used to retain Respondent as counsel for Oron for the payment of \$75,000, which would  
14 have the practical effect of potentially conflicting Respondent off any future litigation against  
15 Oron ("Post-Judgment Agreement").

16          16. On or about August 13, 2012, Respondent informed Liberty of the proposed Post-  
17 Judgment Agreement by presenting a copy thereof to Liberty's CEO Jason Gibson for his review,  
18 approval and signature. The Post-Judgment Agreement encompassed the payment of the  
19 \$550,000 Settlement Amount and Judgment by Oron to Liberty as well as the release of \$75,000  
20 of Oron's frozen funds to Oron's counsel.

21          17. On or about August 13, 2012, Respondent and Jason Gibson discussed the  
22 proposed unfreezing of \$75,000 of Oron's funds. Jason Gibson expressed concerns to Respondent  
23 about the disposition of that \$75,000 and did not consent to such unfreezing.

24          18. As a result of the August 13, 2012 discussion between Jason Gibson and  
25 Respondent, the Post-Judgment Agreement was not executed. Oron's frozen funds were not

1 released, Respondent did not receive a \$75,000 payment, and Respondent did not become counsel  
2 for Oron which might have conflicted him off from opposing Oron in future litigation.  
3

4 19. In response to the District Court's Order dated August 21, 2012, PayPal transferred  
5 \$550,000 of Oron's funds to pay the \$550,000 Settlement Amount and Judgment in favor of  
6 Liberty. A full and proper accounting of those funds has occurred with Liberty receiving its  
7 appropriate share.  
8

9 20. During August of 2012, Respondent and Jason Gibson also discussed pursuing  
10 further litigation on behalf of Liberty against Oron and/or its affiliates or related parties in  
11 overseas jurisdictions. Respondent estimated additional litigation costs and expenses (not to  
12 include attorney's fees) in an amount approximating \$50,000. Mr. Gibson informed Respondent  
13 that Liberty was prepared to advance \$25,000 for additional costs and expenses if Respondent  
14 would advance the other half. Respondent informed Mr. Gibson that he would personally advance  
15 the additional required \$25,000. To memorialize the \$25,000 as an advancement of costs and  
16 expenses, Respondent requested Liberty execute a promissory note to that effect.  
17

18 21. On or about August 21, 2012, pursuant to Respondent's advancement to Liberty of  
19 the \$25,000, Mr. Gibson signed a promissory note on Liberty's behalf noting the terms of  
repayment.  
20

21 22. Respondent did not advise Liberty, in writing, of its right to seek the advice of  
22 independent counsel with regards to the promissory note.  
23

24 23. Respondent's employment by Excelsior ceased on or about August 29, 2012 after  
25 he indicated a likely need to withdraw from representing Liberty. Respondent and Excelsior  
dispute whether Respondent resigned or was terminated by Excelsior.  
26

27 24. RPC 5.6 reads, in part, that "[a] lawyer shall not participate in offering or making  
28 ... [a]n agreement in which a restriction on the lawyer's right to practice is part of the settlement  
29 of a client controversy." As part of the negotiations culminating in the drafting of the proposed  
30

1 Post-Judgment Agreement to which Liberty was a proposed party and signatory, Respondent  
2 offered to enter into an agreement which would have the likely effect of restricting Respondent's  
3 right to practice law.

4 25. RPC 1.8(a) mandates that "a lawyer shall not enter into a business transaction with  
5 a client or knowingly acquire an ownership, possessory security or other pecuniary interest  
6 adverse to a client unless: (1) the transaction and terms on which the lawyer acquires the interest  
7 are fair and reasonable to the client and are fully disclosed and transmitted in writing in a manner  
8 that can be reasonably understood by the client, and (b) the client is advised in writing of the  
9 desirability of seeking and is given a reasonable opportunity to seek the advice of independent  
10 legal counsel on the transaction." Respondent did not advise Liberty, in writing, of the desirability  
11 or advisability of seeking the advice of independent legal counsel on the fairness of the \$25,000  
12 advance or give Liberty the reasonable opportunity to seek the advice of independent counsel  
13 before accepting the advance and signing the promissory note.

14 **AGGRAVATION / MITIGATION**

15 1. Pursuant to SCR 102.5(1) (Aggravation and mitigation), the Parties considered the  
16 following *aggravating* factors in considering the discipline to be imposed:

17 (i) Substantial experience in the practice of law.

18 2. Pursuant to SCR 102.5(2) (Aggravation and mitigation), the Parties considered the  
19 following *mitigating* factors in considering the discipline to be imposed:

20 (a) Absence of prior disciplinary record;

21 (e) Full and free disclosure to disciplinary authority or cooperative attitude toward  
22 proceeding including Respondent's self-reporting of the results of an arbitration  
23 proceeding which reopened this matter after the initial complaint had been closed;

(j) Delay in disciplinary proceedings recognizing that all allegations relate to alleged conduct occurring almost 6 and 7 years prior to this Conditional Guilty Plea with no further complaints filed with the bar subsequent to that time.

**III.**  
**STATED FORM OF DISCIPLINE**

Based upon the above and foregoing, the Parties agree to recommend attorney discipline subject to the following conditions:

1. The Respondent agrees to accept a term of suspension of 12 months, with the suspension stayed; said suspension is to begin on the date of the Nevada Supreme Court's Order approving the conditional guilty plea in this matter.
  2. The Respondent will be placed on an eighteen-month term of probation, said probation to begin on the date of the Nevada Supreme Court's order approving the conditional guilty plea in this matter.
  3. The Respondent will "stay out of trouble" during his term of probation, meaning that he will have no new grievance arising out of conduct post-dating the date of this Conditional Guilty Plea resulting in the imposition of actual discipline (a Letter of Reprimand or above- SCR 102) against him during his term of probation.
  4. The Respondent will successfully complete twenty hours of Continuing Legal Education ("CLE"), in addition to his normal CLE requirements, during his term of probation. The twenty CLE hours will all be ethics credits, cannot be used as credit against any other CLE requirements, and will be reported to the State Bar of Nevada.
  5. The Respondent will seek the advice and approval of an independent and unaffiliated ethics attorney in the relevant jurisdiction before obtaining any conflicts of interest waivers during the probationary period.

1       6. The Respondent agrees to pay SCR 120(1) fccs in the amount of \$2,500.00, and to  
2 pay the actual costs of the disciplinary proceeding. That amount is to be paid in full within thirty  
3 days of receipt of a billing from the State Bar.

4       7. If any of these terms is violated by the Respondent, it will be grounds for the State  
5 Bar to seek to impose the stayed portion of the suspension.

6                          IV.

7                          CONDITIONAL AGREEMENT BY THE STATE BAR

8                          Conditional to approval by the Nevada Supreme Court of the instant Plea, the State Bar  
9 agrees to:

10       1. Dismiss all remaining allegations of violations of Rules, with prejudice.

11                          V.

12                          APPROVAL OF RESPONDENT

13                          Having read the Plea and being satisfied with it, the same is hereby approved by  
14 Respondent.

15                          Respondent acknowledges that he has had the opportunity to discuss this Plea with counsel  
16 of his choosing. Respondent fully understands the terms and conditions set forth herein and enters  
17 into this Plea freely and voluntarily.

18                          DATED this 32 day of May, 2018.

19                            
20                          Marc J. Randazza, Esq.  
21                          Nevada Bar No. 012265  
22                          c/o Dominic Gentile, Esq.  
23                          410 South Rampart Boulevard, Suite 420  
24                          Las Vegas, NV 89145

**V.**

**APPROVAL OF BAR COUNSEL**

Having read the Plea tendered by Respondent and being satisfied with the contents therein, I hereby approve and recommend the Plea for approval by the Formal Hearing Panel.

DATED this 4 day of May, 2018.

STATE BAR OF NEVADA  
Janeen V. Isaacs, Acting Bar Counsel

By: Matthew Carlyon  
Matthew Carlyon  
Assistant Bar Counsel  
Nevada Bar No. 12712  
3100 W. Charleston Blvd., Suite 100  
Las Vegas, Nevada, 89102

## **Exhibit 5**

Letter from State Bar of Nevada  
(April 13, 2020)

## STATE BAR OF NEVADA

April 13, 2020



**Via email only to mjr@randazza.com**

Marc Randazza, Esq.  
2764 Lake Sahara Dr.  
Suite 109  
Las Vegas, NV 89117

Re: Compliance with Nevada Supreme Court Order filed October 10, 2018

Dear Mr. Randazza:

Our records reflect that you have successfully completed the conditions of your stayed suspension as set forth in the Nevada Supreme Court's Order filed October 10, 2018, in Case No. 76453, and no actual suspension will be imposed.

Congratulations on your successful completion of probation. Our file in the matter is now closed.

Please do not hesitate to contact our office if you have any questions.

Sincerely,

  
Daniel Hooge (Apr 13, 2020)

Daniel M. Hooge  
Bar Counsel

/lw

3100 W. Charleston Blvd.  
Suite 100  
Las Vegas, NV 89102  
phone 702.382.2200  
toll free 800.254.2797  
fax 702.385.2878

9456 Double R Blvd., Ste. B  
Reno, NV 89521-5977  
phone 775.329.4100  
fax 775.329.0522

[www.nvbar.org](http://www.nvbar.org)

# 2020.04.13\_Randazza letter

Final Audit Report

2020-04-13

Created:	2020-04-13
By:	Belinda Felix (belindaf@nvbar.org)
Status:	Signed
Transaction ID:	CBJCHBCAABAAUrl0u3COu_Dl8BmR1itrFUKf5kVWRMfB

## "2020.04.13\_Randazza letter" History

-  Document created by Belinda Felix (belindaf@nvbar.org)  
2020-04-13 - 5:04:06 PM GMT- IP address: 68.224.102.64
-  Document emailed to Daniel Hooge (danh@nvbar.org) for signature  
2020-04-13 - 5:04:32 PM GMT
-  Email viewed by Daniel Hooge (danh@nvbar.org)  
2020-04-13 - 5:33:42 PM GMT- IP address: 98.167.70.177
-  Document e-signed by Daniel Hooge (danh@nvbar.org)  
Signature Date: 2020-04-13 - 5:33:48 PM GMT - Time Source: server- IP address: 98.167.70.177
-  Signed document emailed to Daniel Hooge (danh@nvbar.org) and Belinda Felix (belindaf@nvbar.org)  
2020-04-13 - 5:33:48 PM GMT



Adobe Sign

## **Exhibit 6**

Final Judgement and Order of Reprimand and Probation, State of Arizona  
(January 14, 2019)

**BEFORE THE PRESIDING DISCIPLINARY JUDGE**

IN THE MATTER OF A MEMBER OF  
THE STATE BAR OF ARIZONA,

**MARC J. RANDAZZA,  
Bar No. 027861**

Respondent.

**PDJ-2018-9110**

**FINAL JUDGMENT AND  
ORDER OF REPRIMAND  
AND PROBATION**

[State Bar No. 18-3420-RC]

**FILED JANUARY 14, 2019**

Under Rules 54(h) and 57(b), *Reciprocal Discipline*, Ariz. R. Sup. Ct.,<sup>1</sup> a certified copy of the Supreme Court of Nevada's Order Approving Conditional Guilty Plea Agreement was received by the Presiding Disciplinary Judge (PDJ).

The Order imposed a 12-month suspension, which was stayed for 18 months subject to conditions. The conditions include the following terms: Respondent shall have no new grievances out of conduct post-dating the date of the plea which results in the imposition of discipline; 2) successfully complete during the period of probation 20 hours of continuing legal education (CLE) in ethics in addition to any yearly CLE requirements; 3) seek the advice and approval of an independent and unaffiliated ethics attorney in the relevant jurisdiction before obtaining any conflict of interest waivers during the period of probation; 4) pay actual costs of disciplinary

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<sup>1</sup> Unless otherwise stated, all rule references are to the Ariz. R. Sup. Ct.

proceeding including \$2,500.00 under SCR 120. The suspension was for Mr. Randazza's failure to avoid conflict of interests with clients and failure to advise the client of their right to seek the advice of independent counsel regarding a promissory note.

Notice of the filing of that Order was issued to the parties on November 11, 2018, in compliance with Rule 57(b)(2). Under Rule 57(b)(3), the PDJ "shall impose the identical or substantially similar discipline" unless Bar Counsel or Respondent establishes by preponderance of the evidence one of the four elements listed under that rule. Both the State Bar and Mr. Randazza filed responses. The State Bar asserts under Rule 57(b)(3), no factors are applicable, and a sanction of reprimand and probation are appropriate under the facts of this matter. Mr. Randazza asserts suspension in this matter is not warranted and would in fact be punitive. He states the appropriate resolution in this matter is to stay these proceedings until successful completion his term of probation in Nevada and to then dismiss this matter. In the alternative, Mr. Randazza requests a reprimand, or at most, be placed on probation with no additional terms.

Arizona does not recognize a stayed suspension subject to conditions. Rule 60, Ariz. R. Sup. Ct. Therefore, the imposition of an identical sanction is not appropriate and a suspension in Arizona may not be stayed in favor of probation.

We are reminded that the objective of lawyer discipline proceedings is to protect the public, the profession, and the administration of justice, and not to punish the lawyer. *In re Neville*, 147 Ariz. 106, 708 P.2d 1297. Imposing a reprimand and probation serves to advise the Bar and the public that Mr. Randazza engaged in conduct that violated the Rules of Professional Conduct. It serves the purpose of protecting the public, the integrity of the profession, educating other lawyers, and instilling confidence in the integrity of the disciplinary process. A reprimand and eighteen (18) months of probation is substantially similar discipline

Now Therefore,

**IT IS ORDERED** imposing reciprocal discipline of reprimand and eighteen (18) months of probation upon Respondent, **MARC J. RANDAZZA, Bar No. 027861**, effective immediately.

**IT IS FURTHER ORDERED** Mr. Randazza shall be placed on probation for eighteen (18) months to run concurrently with the terms and conditions as set forth in the Nevada Order Approving Guilty Plea Agreement dated October 10, 2018.

**IT IS FURTHER ORDERED** Mr. Randazza shall be responsible for the costs associated with this matter in the amount of \$1,200.00.

**DATED** this 14<sup>th</sup> day of January 2019.

William J. O'Neil  
**William J. O'Neil, Presiding Disciplinary Judge**

Copy of the foregoing e-mailed/mailed  
this 14<sup>th</sup> day of January 2019, to:

Jon Weiss  
Lewis Roca Rothgerber Christie LLP  
201 E. Washington Street, Suite 1200  
Phoenix, AZ 85004-2595  
Email: jweiss@lrrc.com  
Respondent's Counsel

Maret Vessella  
Chief Bar Counsel  
State Bar of Arizona  
4201 North 24th Street, Suite 100  
Phoenix, AZ 85016-6288  
Email: LRO@staff.azbar.org

by: AMcQueen

## **Exhibit 7**

Order of Term Suspension/Stayed, Commonwealth of Massachusetts  
(May 14, 2019)

COMMONWEALTH OF MASSACHUSETTS

SUFFOLK, SS.

SUPREME JUDICIAL COURT  
FOR SUFFOLK COUNTY  
NO: BD-2018-110

IN RE: MARC JOHN RANDAZZA

ORDER OF TERM SUSPENSION/STAYED

This matter came before the Court, Gaziano, J., on a Petition for Reciprocal Discipline pursuant to S.J.C. Rule 4:01, § 16, and the Order entered in the Supreme Court of the State of Nevada filed by the Office of Bar Counsel on December 11, 2018.

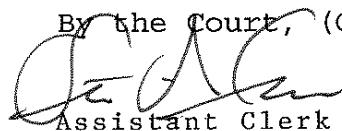
On December 12, 2018, an Order of Notice issued and was served on the lawyer in the manner specified in S.J.C. Rule 4:01, § 21, directing him to inform the Court within thirty (30) days why the imposition of the identical discipline would be unwarranted in the Commonwealth of Massachusetts. On January 15, 2019, the lawyer filed his response to the petition and a hearing was scheduled for March 26, 2019. On March 19, 2019, counsel for the lawyer filed a motion to continue the hearing, which was allowed by this Court and the hearing was rescheduled for May 2, 2019. Bar Counsel's reply to the lawyer's response was filed by Acting Bar Counsel on April 2, 2019, and the lawyer then filed his memorandum in response to Acting Bar Counsel's reply on April 26, 2019.

Upon consideration thereof, and after a hearing attended by acting bar counsel, the lawyer and his counsel;

It is ORDERED that:

Marc John Randazza is hereby suspended from the practice of law in the Commonwealth of Massachusetts for a period of twelve (12) months, with the execution of the suspension stayed for a period of eighteen (18) months, retroactive to October 10, 2018, conditioned upon the lawyer's compliance with the Order entered in the Supreme Court of Nevada, attached hereto and incorporated herein.

After eighteen (18) months from October 10, 2018, the lawyer may file an affidavit of compliance with the Office of Bar Counsel and the Clerk of the Supreme Judicial Court for the County of Suffolk, that he has complied with this Order. Upon receipt, and with the assent of the Office of Bar Counsel, the lawyer may then request that this court issue an order that he is no longer subject to the twelve (12) month suspension for the misconduct that gave rise to the instant petition for discipline.

By the Court, (Gaziano, J.)   
FMG  
Assistant Clerk

Entered: May 14, 2019

EXHIBIT

A

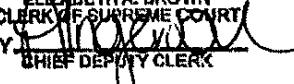
IN THE SUPREME COURT OF THE STATE OF NEVADA

IN THE MATTER OF DISCIPLINE OF  
MARC J. RANDAZZA, BAR NO. 12265.

No. 76453

FILED

OCT 10 2018

ELIZABETH A. BROWN  
CLERK OF SUPREME COURT  
BY   
CHIEF DEPUTY CLERK

**ORDER APPROVING CONDITIONAL GUILTY PLEA AGREEMENT**

This is an automatic review of a Southern Nevada Disciplinary Board hearing panel's recommendation that this court approve, pursuant to SCR 113, a conditional guilty plea agreement in exchange for a stated form of discipline for attorney Marc J. Randazza. Under the agreement, Randazza admitted to violating RPC 1.8(a) (conflict of interest: current clients; specific rules) and RPC 5.6 (restrictions on right to practice) in exchange for a 12-month suspension, stayed for a period of 18 months subject to conditions.

Randazza has admitted to the facts and the violations alleged in two counts set forth in the amended complaint.<sup>1</sup> The record therefore establishes that Randazza violated the above-listed rules by loaning money to his client without informing the client in writing of the desirability of obtaining independent counsel, and by negotiating with opposing counsel to receive, as part of a settlement, a retainer for future legal services.

As Randazza admitted to the violations as part of the plea agreement, the issue for this court is whether the agreed-upon discipline

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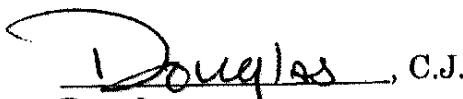
<sup>1</sup>In exchange for Randazza's guilty plea, the State Bar agreed to dismiss the remaining seven counts in the amended complaint.

sufficiently protects the public, the courts, and the legal profession. *State Bar of Nev. v. Claiborne*, 104 Nev. 115, 213, 756 P.2d 464, 527-28 (1988) (explaining purpose of attorney discipline). In determining the appropriate discipline, we weigh four factors: “the duty violated, the lawyer’s mental state, the potential or actual injury caused by the lawyer’s misconduct, and the existence of aggravating and mitigating factors.” *In re Discipline of Lerner*, 124 Nev. 1232, 1246, 197 P.3d 1067, 1077 (2008).

Randazza has admitted to violating duties owed to his client (conflict of interest) and the legal profession (restrictions on right to practice), and the admitted facts reflect that the misconduct was knowing. His conduct may have caused a delay in the disbursement of settlement funds to his client. The baseline sanction for both rule violations, before considering aggravating and mitigating circumstances, is suspension. Standards for Imposing Lawyer Sanctions, *Compendium of Professional Responsibility Rules and Standards*, Standard 4.32 (Am. Bar Ass’n 2017) (providing that suspension is appropriate when a lawyer “knows of a conflict of interest and does not fully disclose to a client the possible effect of that conflict, and causes injury or potential injury to a client”); *id.* Standard 7.2 (providing that suspension is appropriate when a lawyer “knowingly engages in conduct that is a violation of a duty owed as a professional and causes injury or potential injury to a client, the public, or the legal system”). The record supports one aggravating circumstance (substantial experience in the practice of law) and three mitigating circumstances (absence of prior disciplinary record, full and free disclosure to disciplinary authority or cooperative attitude toward proceeding, and delay in disciplinary proceedings). Considering all the factors, we conclude that the agreed-upon discipline is appropriate.

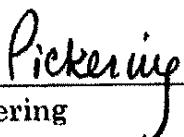
Accordingly, we hereby suspend Marc J. Randazza for 12 months, stayed for 18 months commencing on the date of this order, subject to the following conditions: (1) Randazza shall "stay out of trouble" during the probationary period, "meaning that he will have no new grievance arising out of conduct post-dating the date of the plea which results in the imposition of actual discipline (a Letter of Reprimand or above, SCR 102) against him"; (2) he shall successfully complete 20 hours of CLE in ethics in addition to his normal CLE requirements during the probationary period; (3) he shall seek the advice and approval of an independent and unaffiliated ethics attorney in the relevant jurisdiction before obtaining any conflicts of interest waivers during the probationary period; and (4) he shall pay the actual costs of the disciplinary proceeding, including \$2,500 under SCR 120, within 30 days of this court's order, if he has not done so already. The State Bar shall comply with SCR 121.1

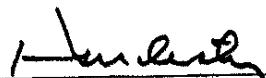
It is so ORDERED.

  
Douglas, C.J.

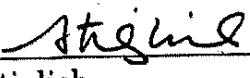
  
Cherry, J.  
Cherry

  
Gibbons, J.

  
Pickering, J.  
Pickering

  
Hardesty, J.  
Hardesty

  
Parraguirre, J.  
Parraguirre

  
Stiglich, J.  
Stiglich

cc: Chair, Southern Nevada Disciplinary Panel  
Gentile, Cristalli, Miller, Armeni & Savarese, PLLC  
Bar Counsel, State Bar of Nevada  
Kimberly K. Farmer, Executive Director, State Bar of Nevada  
Perry Thompson, Admissions Office, U.S. Supreme Court

## **Exhibit 8**

Order on Discipline, *In re Marc J. Randazza*, No. S258331  
(CA. Dec. 11, 2019)

SUPREME COURT  
**FILED**

DEC 11 2019

(State Bar Court No. SBC-19-J-30338)

Jorge Navarrete Clerk

**S258331**

Deputy

**IN THE SUPREME COURT OF CALIFORNIA**

**En Banc**

In re MARC JOHN RANDAZZA on Discipline

The court orders that Marc John Randazza (Respondent), State Bar Number 269535, is suspended from the practice of law in California for one year, execution of that period of suspension is stayed, and Respondent is placed on probation for one year subject to the following conditions:

1. Respondent must comply with the conditions of probation recommended by the Hearing Department of the State Bar Court in its Order Approving Stipulation filed on August 13, 2019; and
2. At the expiration of the period of probation, if Respondent has complied with the terms of probation, the period of stayed suspension will be satisfied and that suspension will be terminated.

Respondent must provide to the State Bar's Office of Probation proof of taking and passing the Multistate Professional Responsibility Examination as recommended by the Hearing Department in its Order Approving Stipulation filed on August 13, 2019. Failure to do so may result in suspension. (Cal. Rules of Court, rule 9.10(b).)

Costs are awarded to the State Bar in accordance with Business and Professions Code section 6086.10 and are enforceable both as provided in Business and Professions Code section 6140.7 and as a money judgment.

**CANTIL-SAKAUYE**

*Chief Justice*

## **Exhibit 9**

Arizona State Bar's Notice of Successful Completion of Probation  
(April 16, 2020)

FILED  
4/16/2020  
/s/ BRANDI ENSIGN

Maret Vessella, Bar No. 019350  
Chief Bar Counsel  
State Bar of Arizona  
4201 N. 24<sup>th</sup> Street, Suite 100  
Phoenix, Arizona 85016-6266  
Telephone (602)340-7272  
Email: LRO@staff.azbar.org

**BEFORE THE PRESIDING DISCIPLINARY JUDGE**

**IN THE MATTER OF A MEMBER  
OF THE STATE BAR OF ARIZONA,**

**MARC J. RANDAZZA  
Bar No. 027861**

Respondent.

**PDJ 2018-9110**

**NOTICE OF SUCCESSFUL  
COMPLETION OF PROBATION**

File No. **18-3420-RC**

Pursuant to Rule 60(a)(5)(C), Ariz. R. Sup. Ct., the State Bar, through undersigned bar counsel, hereby notifies the Presiding Disciplinary Judge of the Supreme Court of Arizona that Respondent has successfully complied with the terms of probation, and the probation is therefore completed.

DATED this 16<sup>th</sup> day of April, 2020.

/s/Maret Vessella  
Maret Vessella  
Chief Bar Counsel

Original electronically filed with the Disciplinary Clerk of  
the Office of the Presiding Disciplinary Judge  
of the Supreme Court of Arizona  
this 16<sup>th</sup> day of April, 2020.

Copy of the foregoing emailed  
this 16<sup>th</sup> day of April, 2020, to:

Jon D. Weiss  
Lewis Roca Rothgerber Christie LLP  
201 E. Washington St, Ste 1200  
Phoenix, AZ 85004-2595  
Email: [jweiss@lrrc.com](mailto:jweiss@lrrc.com)  
Respondent's Counsel

Lawyer Regulation Records Manager  
State Bar of Arizona  
4201 N. 24<sup>th</sup> St., Suite 100  
Phoenix, Arizona 85016-6266

Compliance Monitor  
State Bar of Arizona  
4201 N. 24<sup>th</sup> St., Suite 100  
Phoenix, Arizona 85016-6266

by: /s/Jackie Brokaw

## **Exhibit 10**

Discharge of Suspension, Commonwealth of Massachusetts  
(April 27, 2020)

COMMONWEALTH OF MASSACHUSETTS

SUFFOLK, SS.

SUPREME JUDICIAL COURT  
FOR SUFFOLK COUNTY  
No: BD-2018-110

IN RE: MARC JOHN RANDAZZA

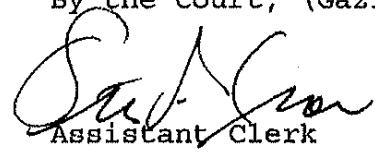
ORDER

This matter came before the Court, Gaziano, on the motion for an order to enter that the lawyer is no longer subject to the twelve month suspension imposed by this Court's May 14, 2018 Order of Term Suspension/Stayed, the execution of which was stayed for eighteen (18) months retroactive to October 10, 2018, and conditioned upon the lawyer's compliance with the Order entered in the Supreme Court of Nevada. With the Office of Bar Counsel assenting to the motion and the fact that execution of the suspension was stayed, the lawyer was never in fact suspended from the practice of law, therefore no formal order of reinstatement is required.

Upon consideration thereof, it is ORDERED that Marc John Randazza shall no longer be subject to the twelve (12) month

term suspension for the misconduct that gave rise to the petition for discipline.

By the Court, (Gaziano, J.)



A handwritten signature in black ink, appearing to read "David N. Varn". Below the signature, the words "Assistant Clerk" are printed in a smaller, sans-serif font.

Entered: 8/27/2020

## **Exhibit 11**

Marc J. Randazza MPRE Score

## MPRE Score

Name: MARC RANDAZZA  
NCBE Number: N10473643  
Date of Birth: 11/26/1969

Your score on the Multistate Professional Responsibility Examination (MPRE) administered on 03/13/2020 is as follows:

Scaled Score: 119

The score shown above has been reported to CALIFORNIA as you requested when you registered for the MPRE.

**Your MPRE score will be available on your NCBE account only until the next MPRE test date. If you want to obtain your score after that, you will need to request a score transcript, and pay the required fee. Therefore, we recommend that you save this page and/or print it for your records.**

Each jurisdiction determines its own passing score on the MPRE. You may find a jurisdiction's passing score, as well as contact information for its bar admission agency, by selecting the jurisdiction from the [interactive map](#). Any questions about admission requirements pertaining to MPRE scores should be directed to the bar admission agency in the jurisdiction to which you are applying.

The MPRE scaled score is a standard score. Standard scaled scores range from 50 (low) to 150 (high).

**MPRE Score Services: All MPRE score services listed below must be requested under the Score Services tab or from the File Cabinet of your NCBE account.**

- MPRE Score Report: If you would like to have your MPRE score sent to another jurisdiction, you must submit a request to NCBE for a score report. Score reports are sent to jurisdictions by secure transfer.
- MPRE Score Verification: If you would like to have the scoring of your MPRE answer sheet rechecked by hand, you must request a score verification. **Score verification requests must be submitted to NCBE within two months of the original test date.**  
Note: See link in File Cabinet to request a MPRE Score Verification. This link is only visible when the service is available.
- MPRE Unofficial Score Transcript: If you would like a replacement copy of your MPRE score after it is no longer available on this page, you must submit a request to NCBE for an MPRE Unofficial Score Transcript. The transcript will include all the MPRE scores you earned from 1999 to the present. All scores on the MPRE Unofficial Score Transcript are duplicative of score information provided following the exam. MPRE Unofficial Score Transcripts will be available in the File Cabinet of your NCBE account, and are not sent by mail.

## **Exhibit 12**

Order of Term Suspension/Stayed, U.S. District Court for the District of Massachusetts  
(Sept. 26, 2019)

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

IN RE: MARC J. RANDAZZA ) MISC. BUSINESS DOCKET  
                                 ) No.: 18-mc-91490-FDS

)  
)  
)  
)

**ORDER OF TERM SUSPENSION/STAYED**

WHEREAS, on March 28, 2019, the United States Patent and Trademark Office has cause to file with this Court a certified copy of an Order of Term Suspension/Stayed with respect to Attorney **MARC J. RANDAZZA**;

1. WHEREAS, pursuant to Local Rule 83.6.9(b), on September 4, 2019, “Notice of Filing of Disciplinary Action” and “Order to Show Cause” were filed in this Court and copies sent (along with a copy of the Local Rule) via certified mail to **MARC J. RANDAZZA**;
2. WHEREAS, on September 19, 2019, Attorney James S. Bolan on behalf of **MARC J. RANDAZZA** filed a Response to Order to Show Cause consenting to reciprocal discipline.

WHEREFORE, pursuant to Local Rule 83.6.9(c), this Court hereby imposes the identical discipline, and **MARC J. RANDAZZA** is hereby suspended from this Court for a period of twelve (12) months, with the execution of the suspension stayed for a period of eighteen (18) months, retroactive to October 10, 2018, conditioned upon the lawyer’s successful compliance with the Order entered in the Supreme Court of Nevada.

Date

*Sept. 26, 2019*

  
F. Dennis Saylor

District Judge

## **Exhibit 13**

Order Adopting Second Amended and Final Report and Recommendation,  
U.S. District Court for the Southern District of Florida  
(May 8, 2020)

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**ADMINISTRATIVE ORDER 2020-29  
CASE # 18-MC-25320**

**In re: MARC JOHN RANDAZZA  
FLORIDA BAR # 625566**

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**ORDER ADOPTING SECOND AMENDED AND FINAL REPORT AND  
RECOMMENDATION**

On February 6, 2019, this Court asked the Ad Hoc Committee on Attorney Admissions, Peer Review, and Attorney Grievance (the “Committee”) to conduct disciplinary proceedings or to make recommendations to the Court for appropriate action in light of attorney Marc John Randazza’s discipline by the Supreme Court of Nevada. (ECF No. 8). Randazza was suspended from the practice of law by the Supreme Court of Nevada on October 10, 2018, “for 12 months, stayed for 18 months.” *See In the Matter of Discipline of Randazza*, 428 P.3d 260 (2018) (“Nevada Order”) (ECF No. 2). This matter initially came to the attention of this Court by letters from Randazza on three separate occasions, informing the Court of the Nevada Order. (ECF Nos. 3-5). Prior to the referral to the Committee, this Court issued an Order to Show Cause for Randazza to respond to the Nevada Order. (ECF No. 6). Randazza responded that any “disciplinary action should be deferred until the successful completion of the period of probation” imposed by the Nevada Order. (ECF No. 7). In a Supplement to his Response, Randazza informed the Court and Committee that he completed the CLE requirement imposed by the Nevada Order and that the United States Court of Appeals for the Eleventh Circuit renewed his admission to the bar of that Court despite the Nevada Order. (ECF No. 9).

On April 1, 2020, after reviewing the record provided by Randazza and having confirmed with him that “he is currently in compliance with the requirements of his probation and is unaware of the existence of any further disciplinary matters brought against him,” the Committee issued its

## Case 1:18-mc-25320 Document 21 Entered on FLSD Docket 05/08/2020 Page 2 of 5

Report and Recommendation, recommending that this Court adopt the same disciplinary measures imposed in the Nevada Order in addition to requiring that Randazza confirm in writing that he has not been subject to any disciplinary matters since his probation began. (ECF No. 12). After the Report and Recommendation was issued, Randazza sent an email to the Committee explaining that while he has not been subject to any new discipline and believed that the Report and Recommendation was limited to only new discipline, he wanted to update the Committee of other reciprocal orders of discipline already imposed upon him from the Bar of Massachusetts, the Bar of California, the U.S. District Court for the District of Massachusetts, the U.S. District Court for the District of Nevada, and the U.S. Patent and Trademark Office. (ECF Nos. 18, 13-17).

On April 21, 2020, the Committee issued an Amended Report and Recommendation, in which it responded to Randazza's disclosure of reciprocal discipline orders from other courts. (ECF No. 19). The Committee found that “[u]nder the applicable rules of this Court, all of these suspensions should have been reported as they occurred” pursuant to Rule 8(a) of the Rules Governing the Admission, Practice, Peer Review, and Discipline of Attorneys (“Attorney Rules”), Local Rules of the United States District Court for the Southern District of Florida. *Id.* As a consequence, the Committee recommended that this Court “continue Mr. Randazza’s probation for an additional year, until April 10, 2021,” that Randazza immediately report any changes to the reciprocal discipline orders or new discipline imposed from other courts, and provide the Court with periodic status reports. *Id.* Randazza responded with a request that the Committee withdraw its Amended Report and Recommendation and maintain its initial Report and Recommendation or issue a revised Report and Recommendation that does not characterize his conduct as knowingly violating Rule 8(a). (“Response to Amended Report and Recommendation”) (ECF No. 20).

On April 28, 2020, the Committee issued a Second Amended and Final Report and Recommendation, acknowledging receipt and consideration of Randazza’s Response to Amended

## Case 1:18-mc-25320 Document 21 Entered on FLSD Docket 05/08/2020 Page 3 of 5

Report and Recommendation but only modifying its recommendations to the extent of eliminating the additional year of probation. (ECF No. 10). Randazza filed a Response to Second Amended and Final Report and Recommendation in which he “consents to the discipline and requirements recommended by the Committee and respectfully requests that this Court enter an order adopting the recommendations.” (ECF No. 11).

This Court is in agreement with the Committee’s finding that “[u]nder the applicable rules of this Court, all of these suspensions should have been reported as they occurred” and that “Mr. Randazza should have been aware of his obligation to report these orders when they were issued.” (ECF No. 10). Randazza raised the argument that Rule 8(a) only applies to reporting the original discipline and that if he had to report all reciprocal discipline in other jurisdictions, “it would mean that . . . [he] could be potentially reporting dozens of orders to this Court.” (ECF No. 20). These arguments lack merit. Rule 8(a)<sup>1</sup>, which is the first procedure where discipline is imposed by other courts, unequivocally directs members of this Bar to report, without modifier, “discipline,” a catch-all to the more specific forms of reprimand, suspension, or disbarment. To infer a limitation on “discipline” to only original and not reciprocal discipline would imply an inherent exception that is not there. Reciprocal discipline is still discipline. Furthermore, the argument about having to “potentially” report “dozens of orders to this Court” is exactly the purpose Rule 8(a) is intended to serve. While it may be “potentially” burdensome, it is an obligation as a member of this Court’s Bar to inform this Court of discipline imposed by other courts so this Court is adequately informed of the activities of its members.

Given this background, in accordance with Rule 8(d) and the Court’s inherent power to regulate membership in its bar for the protection of the public interest, *see Chambers v. NASCO*,

---

<sup>1</sup> Rule 8(a) in its entirety states: “An attorney admitted to practice before this Court shall, upon being subjected to reprimand, discipline, suspension, or disbarment by a court of any state, territory, commonwealth, or possession of the United States, or by any other court of the United States or the District of Columbia, shall promptly inform the Clerk of the Court of such action.”

Case 1:18-mc-25320 Document 21 Entered on FLSD Docket 05/08/2020 Page 4 of 5

*Inc.*, 501 U.S. 32, 43 (1991) (“[A] federal court has the power to control admission to its bar and to discipline attorneys who appear before it.”), having reviewed the file, considered the Committee’s Second Amended and Final Report and Recommendation, it is hereby

ORDERED AND ADJUDGED that the Committee’s Second Amended and Final Report and Recommendation is ADOPTED and the matter is CLOSED.

IT IS FURTHER ORDERED as follows:

1. This Court ADOPTS the disciplinary measures imposed in the Nevada Order with the same probationary requirements set to expire on April 10, 2020;
2. Randazza is to *immediately* file notice with this Court under the above case number of any changes to his status in Massachusetts, California, Nevada, or any U.S. District or Circuit Courts or the U.S. Patent Office;
3. Randazza is to *immediately* file notice with this Court under the above case number of any discipline recommended in Florida, Arizona, or any other jurisdiction filed by the complainant there;
4. Randazza is to *immediately* file notice with this Court under the above case number of any other matters as required by Rules 8 through 10 of the Attorney Rules; and
5. Randazza is to provide this Court a status report under the above case number of any pending disciplinary charges, reviews or proceedings occurring anywhere on the 90<sup>th</sup>, 180<sup>th</sup> and 270<sup>th</sup> day from the entry of this Order, with a final status report due on April 10, 2021.

DONE and ORDERED in Chambers at Miami, Miami-Dade County, Florida, this 8<sup>th</sup> day of May, 2020.

  
\_\_\_\_\_  
K. MICHAEL MOORE  
UNITED STATES CHIEF DISTRICT JUDGE

Copies furnished as follows: See attached

Case 1:18-mc-25320 Document 21 Entered on FLSD Docket 05/08/2020 Page 5 of 5

c: All South Florida Eleventh Circuit Court of Appeals Judges  
All Southern District Judges  
All Southern District Bankruptcy Judges  
All Southern District Magistrate Judges  
United States Attorney  
Circuit Executive  
Federal Public Defender  
Clerks of Court – District, Bankruptcy and 11<sup>th</sup> Circuit  
Florida Bar and National Lawyer Regulatory Data Bank  
Library  
Clinton Payne, Chair, Ad Hoc Committee on Attorney Admissions, Peer Review and  
Attorney Grievance  
Marc John Randazza

## **Exhibit 14**

U.S. Patent & Trademark Office Final Order  
(July 23, 2019)



**UNITED STATES PATENT AND TRADEMARK OFFICE**

OFFICE OF THE GENERAL COUNSEL

July 23, 2019

Mr. Marc J. Randazza  
Randazza Legal Group, PLLC  
2764 Lake Sahara Drive, Suite 109  
Las Vegas, Nevada 89117

CERTIFIED MAIL 70172620 0000 01057585  
RETURN RECEIPT REQUESTED

**PERSONAL AND CONFIDENTIAL**  
Re: File No. D2019-25

FINAL ORDER PURSUANT TO 37 C.F.R. § 11.24

Dear Mr. Randazza:

Enclosed please find a service copy of a Final Order signed on behalf of the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office.

The Final Order will be published on the USPTO FOIA web page (<https://foiadocuments.uspto.gov/oed/>) and the Notice of Stayed Suspension will be published in the Official Gazette.

If you require any additional information or records, you may contact the Office of Enrollment and Discipline at 571-272-4097, or by writing to Mail Stop OED, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Sincerely,

Tricia Choe  
Associate Counsel  
Office of General Law

**UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE DIRECTOR OF THE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Matter of: )  
                            )  
Marc J. Randazza,     )                                  Proceeding No. D2019-25  
                            )  
                            )  
Respondent             ) \_\_\_\_\_

**FINAL ORDER PURSUANT TO 37 C.F.R. § 11.24**

Pursuant to 37 C.F.R. § 11.24(b), Marc J. Randazza (“Respondent”) is hereby suspended from the practice of trademark and other non-patent law before the United States Patent and Trademark Office (“USPTO” or “Office”) for one year, stayed for eighteen months subject to conditions, for violation of 37 C.F.R. § 11.804(h).

**Background**

By Order dated October 10, 2018, the Supreme Court of the State of Nevada in its order in *In the Matter of Discipline of Marc J. Randazza, Esq., Bar No. 12265*, Case No. 76453, suspended Respondent for one year, stayed for eighteen months subject to conditions, from the practice of law in that jurisdiction.

On June 11, 2019, a “Notice and Order Pursuant to 37 C.F.R. § 11.24” (“Notice and Order”), was sent by certified mail (receipt no. 70172620000001058230) notifying Respondent that the Director of the Office of Enrollment and Discipline (“OED Director”) had filed a “Complaint for Reciprocal Discipline Pursuant to 37 C.F.R. § 11.24” (“Complaint”) requesting that the Director of the USPTO impose reciprocal discipline upon Respondent identical to the discipline imposed by the Supreme Court of the State of Nevada on October 10, 2018 in *In the Matter of Discipline of Marc J. Randazza, Esq., Bar No. 12265*, Case No. 76453. The Notice and Order was delivered to Respondent on June 14, 2019.

The Notice and Order provided Respondent an opportunity to file, within forty (40) days,

a response opposing the imposition of reciprocal discipline identical to that imposed by the State of Nevada, based on one or more of the reasons provided in 37 C.F.R. § 11.24(d)(1).

Respondent filed a timely letter dated July 15, 2019 responding to the Notice and Order.

#### Analysis

In his response, Respondent indicates that he is “amenable to the imposition of discipline identical to that imposed by the Supreme Court of the State of Nevada in *In the Matter of Discipline of Marc J. Randazza, Esq., Bar No. 12265*, Case No. 76453.” (Ex. 1). He further states that he “[does] not believe there is any genuine issue of material fact that the imposition of identical discipline would be unwarranted.” *Id.*

Given that Respondent believes that it is appropriate for the USPTO to impose reciprocal discipline on the same terms and conditions as those set forth in the October 10, 2018 Order of the Supreme Court of the State of Nevada in *In the Matter of Discipline of Marc J. Randazza, Esq., Bar No. 12265*, Case No. 76453, it is hereby determined that there is no genuine issue of material fact under 37 C.F.R. § 11.24(d), and that it is the appropriate discipline to suspend Respondent from the practice of trademark and other non-patent law before the USPTO for one year, stayed for eighteen months, subject to Respondent’s successful compliance with conditions during the eighteen-month stay, as set by the Supreme Court of the State of Nevada.

ACCORDINGLY, it is hereby ORDERED that:

1. Respondent be, and hereby is, suspended from the practice of trademark and other non-patent law before the USPTO for one year, stayed for eighteen months, subject to Respondent’s successful compliance with conditions during the eighteen-month stay, as set by the Supreme Court of the State of Nevada, effective the date of this Final Order;
2. The OED Director publish a notice in the Official Gazette that is materially consistent with the following:

**Notice of Stayed Suspension**

This notice concerns Marc J. Randazza of Las Vegas, Nevada, who is authorized to practice before the Office in trademark and non-patent matters. In a reciprocal disciplinary proceeding, the Director of the United States Patent and Trademark Office (“USPTO”) has ordered that Mr. Randazza be suspended from practice before the USPTO in trademark and other non-patent matters for one year, stayed for eighteen months subject to conditions, for violating 37 C.F.R. § 11.804(h), predicated upon being suspended (stayed) from the practice of law by a duly constituted authority of a State. Mr. Randazza is not authorized to practice before the Office in patent matters.

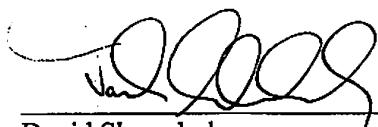
Mr. Randazza was suspended for one year, stayed for eighteen months subject to conditions set by the Supreme Court of the State of Nevada, for knowingly violating duties owed to his client (conflict of interest) and the legal profession (restrictions on the right to practice) arising out of a matter in which Mr. Randazza loaned money to his client without informing the client in writing of the desirability of obtaining independent counsel, and by negotiating with opposing counsel to receive, as part of a settlement, a retainer for future legal services.

This action is taken pursuant to the provisions of 35 U.S.C. § 32 and 37 C.F.R. § 11.24. Disciplinary decisions are available for public review at the Office of Enrollment and Discipline’s FOIA Reading Room, located at: <https://foiadocuments.uspto.gov/oed/>;

and

3. The OED Director give notice pursuant to 37 C.F.R. § 11.59 of the public discipline and the reasons for the discipline to disciplinary enforcement agencies in the state(s) where Respondent is admitted to practice, to courts where Respondent is known to be admitted, and to the public.

23 July 2019  
Date



---

David Shewchuk  
Deputy General Counsel for General Law  
United States Patent and Trademark Office

on delegated authority by

Andrei Iancu  
Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office

cc:

OED Director

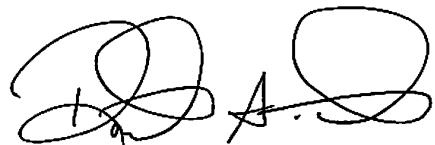
Mr. Marc J. Randazza  
Randazza Legal Group, PLLC  
2764 Lake Sahara Drive, Suite 109  
Las Vegas, Nevada 89117

**CERTIFICATE OF SERVICE**

I hereby certify that the foregoing Final Order Pursuant to 37 C.F.R. § 11.24 was mailed by first-class certified mail, return receipt requested, on this day to the Respondent at the address listed by the Nevada State Bar for Respondent and to where the OED Director reasonably believes Respondent receives mail::

Mr. Marc J. Randazza  
Randazza Legal Group, PLLC  
2764 Lake Sahara Drive, Suite 109  
Las Vegas, Nevada 89117

7/23/2019  
Date



United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

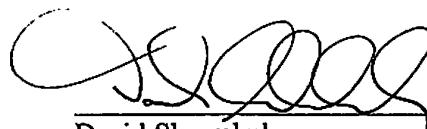
**Notice of Stayed Suspension**

This notice concerns Marc J. Randazza of Las Vegas, Nevada, who is authorized to practice before the Office in trademark and non-patent matters. In a reciprocal disciplinary proceeding, the Director of the United States Patent and Trademark Office (“USPTO”) has ordered that Mr. Randazza be suspended from practice before the USPTO in trademark and other non-patent matters for one year, stayed for eighteen months subject to conditions, for violating 37 C.F.R. § 11.804(h), predicated upon being suspended (stayed) from the practice of law by a duly constituted authority of a State. Mr. Randazza is not authorized to practice before the Office in patent matters.

Mr. Randazza was suspended for one year, stayed for eighteen months subject to conditions set by the Supreme Court of the State of Nevada, for knowingly violating duties owed to his client (conflict of interest) and the legal profession (restrictions on the right to practice) arising out of a matter in which Mr. Randazza loaned money to his client without informing the client in writing of the desirability of obtaining independent counsel, and by negotiating with opposing counsel to receive, as part of a settlement, a retainer for future legal services.

This action is taken pursuant to the provisions of 35 U.S.C. § 32 and 37 C.F.R. § 11.24. Disciplinary decisions are available for public review at the Office of Enrollment and Discipline’s FOIA Reading Room, located at: <https://foiadocuments.uspto.gov/oed/>.

23 July 2019  
Date



David Shewchuk  
Deputy General Counsel for General Law  
United States Patent and Trademark Office

on delegated authority by

Andrei Iancu  
Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office

## **Exhibit 15**

Order Discharging Suspension, U.S. District Court for the District of Massachusetts  
(June 23, 2020)

**UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS**

)  
)  
)  
IN RE:   **MARC J. RANDAZZA**   )   MISC. BUSINESS DOCKET  
                                       )   No.: 18-mc-91490-FDS  
                                       )  
                                       )  
                                       )

**ORDER**

1. WHEREAS, on September 3, 2019, the U.S. Patent and Trademark Office caused to be filed with this Court a Final Order suspending Mr. Randazza for one (1) year, stayed for eighteen (18) months subject to conditions with respect to **MARC J. RANDAZZA**;
2. WHEREAS, on September 26, 2019, pursuant to Local Rule 83.6.9(b), a reciprocal Order of Term Suspension/Stayed was issued by Chief Judge Saylor IV;
3. WHEREAS, on April 30, 2020, Attorney Sara Holden representing **MARC J. RANDAZZA** filed an Order notifying the Court that Mr. Randazza has successfully completed the conditions of his stayed suspension as set forth in the Nevada Supreme Court's Order retroactive to October 10, 2018;

WHEREFORE, it is hereby ORDERED that **MARC J. RANDAZZA** shall no longer be subject to the twelve (12) month term suspension for the misconduct that gave rise to the petition for discipline.

Dated: June 23, 2020

/s/ F. Dennis Saylor IV

F. Dennis Saylor IV

Chief Judge, United States District Court

## **Exhibit 16**

Order of Suspension, U.S. District Court for the District of Nevada  
(Oct. 22, 2019)

Case 2:19-cv-01765-MMD Document 5 Filed 10/22/19 Page 1 of 3

1  
2  
3 UNITED STATES DISTRICT COURT

4 DISTRICT OF NEVADA

5 \* \* \*

6 In re: Marc J. Randazza,  
7 Attorney at Law, Bar No. 12265

Case No. 2:19-cv-01765-MMD  
8 ORDER OF SUSPENSION

9

10 **I. SUMMARY**

11 This is an attorney discipline matter. Before the Court is Marc J. Randazza's  
12 response to the Court's Order to Show Cause ("OSC") why he should not be suspended  
13 from practice before this Court following the Order Approving Conditional Guilty Plea  
14 Agreement filed by the Nevada Supreme Court ("NSC") on October 10, 2018. (ECF Nos.  
15 1 (OSC), 3 (the "Response").) As further explained below, the Court will suspend Mr.  
16 Randazza from practice before this Court because this Court has neither the obligation,  
17 resources, nor inclination to monitor Mr. Randazza's compliance with the probationary  
18 conditions the NSC imposed on him. However, Mr. Randazza may file a petition for  
19 reinstatement once he has fully discharged those conditions and can produce a certificate  
20 of good standing from the NSC reflecting the same.

21 **II. BACKGROUND**

22 Mr. Randazza was suspended by the NSC following his conditional guilty plea to  
23 a charge that he violated "RPC 1.8(a) (conflict of interest: current clients: specific rules)  
24 and RPC 5.6 (restrictions on right to practice)." (ECF No. 3 at 15.) While Mr. Randazza's  
25 suspension was stayed, he is currently subject to several probationary conditions  
26 imposed by the NSC. (*Id.* at 17.) Until at least April 10, 2020, Mr. Randazza must: (1)  
27 "stay out of trouble;" (2) successfully complete 20 hours of ethics CLE in addition to his  
28 normal CLE requirements; and (3) seek the advice of an independent and unaffiliated

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1       ethics attorney in each relevant jurisdiction before obtaining any conflicts of interest  
 2       waivers. (*Id.* at 3, 15, 17.)

3           This Court issued the OSC as to why Mr. Randazza should not be suspended from  
 4       practice in this Court on September 6, 2019. (ECF No. 1.) Mr. Randazza timely filed his  
 5       Response on October 3, 2019. (ECF No. 3.) In his Response, he argues that this Court  
 6       should allow him to continue practicing before it because he is still allowed to practice law  
 7       before the Nevada state courts, and he is currently complying with the probationary  
 8       conditions the NSC imposed on him. (*Id.* at 3-5.) He also argues that his suspension from  
 9       practice by this Court would either be gravely unjust, or his misconduct does not justify  
 10      suspension by this Court. (*Id.* at 3.) He further notes that other federal court have  
 11      continued to allow him to practice while he is subject to the NSC's probationary conditions.  
 12      (*Id.* at 5-6.)

13      **III. DISCUSSION**

14           This Court imposes reciprocal discipline on a member of its bar when that person  
 15       is suspended or otherwise disciplined by a state court unless it determines that the state's  
 16       disciplinary adjudication was improper. See *In re Kramer*, 282 F.3d 721, 724 (9th Cir.  
 17       2002). Specifically, the Court will only decline to impose reciprocal discipline if the  
 18       attorney subject to discipline presents clear and convincing evidence that:

19               (A) the procedure in the other jurisdiction was so lacking in notice or opportunity to  
 20       be heard as to constitute a deprivation of due process; (B) there was such an  
 21       infirmitiy of proof establishing the misconduct as to give rise to a clear conviction  
 22       that the court should not accept as final the other jurisdiction's conclusion(s) on  
 23       that subject; (C) imposition of like discipline would result in a grave injustice; or (D)  
 24       other substantial reasons justify not accepting the other jurisdiction's  
 25       conclusion(s).

26           LR IA 11-7(e)(3); see also *In re Kramer*, 282 F.3d at 724-25 (stating that the attorney  
 27       bears the burden by clear and convincing evidence).

28           The Court will suspend Mr. Randazza from practice before this Court because the  
 29       NSC's disciplinary adjudication regarding Mr. Randazza following his conditional guilty  
 30       plea appears to have been proper, and he presents no clear and convincing evidence to  
 31       the contrary. Procedurally, Mr. Randazza did not submit a certified copy of the entire  
 32       record.

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1 record from the NSC or present any argument as to why less than the entire record will  
2 suffice. See LR IA 11-7(e)(3). Substantively, while Mr. Randazza does appear to be  
3 allowed to practice in the Nevada state courts, he is also subject to probationary  
4 conditions that this Court has neither the obligation, resources, nor inclination to monitor.  
5 (ECF No. 3 at 17.) And the Court sees no substantial reasons not to suspend Mr.  
6 Randazza based on its review of the record. See LR IA 11-7(e)(3). The Court will therefore  
7 suspend Mr. Randazza.

8 That said, Mr. Randazza is free to petition the Court for reinstatement under LR IA  
9 11-7(i) assuming he is able to successfully complete his term of probation with the NSC.  
10 Any petition for reinstatement should not be filed until Mr. Randazza has successfully  
11 discharged each and every probationary condition imposed on him by the NSC, and he  
12 is able to present both a certificate of good standing from the NSC and evidence sufficient  
13 to establish that his practice in the Nevada state courts is fully unencumbered by any  
14 probationary or other conditions stemming from his conditional guilty plea or any other  
15 discipline imposed on him by the NSC.

16 **IV. CONCLUSION**

17 It is therefore ordered that Marc J. Randazza, Bar No. 12265, is hereby suspended  
18 from practice in the United States District Court for the District of Nevada.

19 DATED THIS 22<sup>nd</sup> day of October 2019.



20  
21 MIRANDA M. DU  
22 CHIEF UNITED STATES DISTRICT JUDGE  
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## **Exhibit 17**

Order of Reinstatement, U.S. District Court for the District of Nevada  
(June 9, 2020)

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UNITED STATES DISTRICT COURT

DISTRICT OF NEVADA

\* \* \*

In re: Marc J. Randazza,  
Attorney at Law, Bar No. 12265

Case No. 2:19-cv-01765-MMD

## I. SUMMARY

This is an attorney discipline matter. Before the Court is Marc Randazza's petition for reinstatement (the "Petition").<sup>1</sup> (ECF No. 14.) As further explained below, the Court will grant Mr. Randazza's Petition.

## II. BACKGROUND

Mr. Randazza was suspended by the Nevada Supreme Court ("NSC") following his conditional guilty plea to a charge that he violated "RPC 1.8(a) (conflict of interest: current clients: specific rules) and RPC 5.6 (restrictions on right to practice)." (ECF No. 3 at 15.)

Upon receiving notice of his suspension by the NSC, the Court issued an order to show cause why Mr. Randazza should not also be suspended by this Court. (ECF No. 1 ("OSC").) Mr. Randazza filed a response to the OSC, arguing he should not be suspended by this Court. (ECF No. 3.) The Court nonetheless suspended him because he remained subject to probationary conditions imposed by the NSC. (ECF No. 5 (the "Suspension Order").) Mr. Randazza then filed an emergency motion to alter or amend the Suspension Order (ECF No. 8), which the Court denied (ECF No. 12).<sup>2</sup>

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<sup>1</sup>Mr. Randazza also filed an emergency motion for a hearing on his Petition. (ECF No. 17.) Because the Court will grant the Petition, the Court will deny the emergency motion for a hearing as moot.

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1       The Petition followed.<sup>3</sup> (ECF No. 14.) In his Petition, Mr. Randazza asks to be  
 2 reinstated primarily because he has successfully discharged the NSC's probationary  
 3 conditions. (*Id.* at 2.) In most pertinent part, he attached a letter from the State Bar of  
 4 Nevada confirming that he has successfully discharged the probationary conditions the  
 5 NSC imposed on him. (*Id.* at 16.) Mr. Randazza also submitted a declaration explaining  
 6 he has clients with cases in this district who would like him to represent them (*id.* at 11-  
 7 14), and some declarations from some of his clients explaining they would like Mr.  
 8 Randazza to represent them (*id.* at 19-26). Mr. Randazza previously submitted a current  
 9 certificate of good standing from the State Bar of Nevada. (ECF No. 10-3.)

10 **III. DISCUSSION**

11       Local Rule IA 11-7(i) states that an attorney who is the subject of an order of  
 12 suspension "may petition for reinstatement to practice before this court or for modification  
 13 of the order as may be supported by good cause and the interests of justice." LR IA 11-  
 14 7(i). The Rule further provides: "if the attorney was readmitted by the supervising court or  
 15 the discipline imposed by the supervising court was modified or satisfied, the petition must  
 16 explain the situation with specificity, including a description of any restrictions or  
 17 conditions imposed on readmission by the supervising court." *Id.* However, the decision  
 18 as to whether and under what circumstances the attorney will be reinstated to practice  
 19 before this Court is left to the discretion of the Chief Judge, or other reviewing Judge if  
 20 the Chief Judge refers the matter to another judge. See *id.*; see also LR IA 11-7(a).

21       The Court will grant the Petition because Mr. Randazza has sufficiently  
 22 demonstrated he successfully discharged the NSC's probationary conditions and is an  
 23 attorney in good standing with the State Bar of Nevada. (ECF Nos. 10-3, 14 at 16.)

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25       <sup>2</sup>The Court issued this order on November 27, 2019, and received a certified mail  
 26 receipt indicating it was mailed to Mr. Randazza's counsel that same day. (ECF No. 13.)  
 27 However, both Mr. Randazza and his counsel claim they never received that order. (ECF  
 28 Nos. 15 (minute order explaining in response to a letter from Mr. Randazza's counsel  
 inquiring about a ruling on the emergency motion to alter or amend judgment that the  
 Court had already issued an order denying it on November 27, 2019), 17 at 4, 17-1 at 3.)

<sup>3</sup>Mr. Randazza followed up with a letter as well. (ECF No. 16.)

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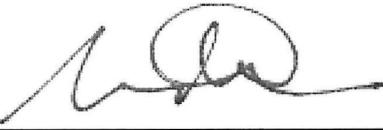
1 Moreover, Mr. Randazza's Petition demonstrates understanding of the Court's prior  
2 Suspension Order granting him leave to petition this Court for reinstatement once he  
3 could show that he is able to practice in Nevada state courts unencumbered by any  
4 probationary conditions. (ECF No. 5 at 3.) The Court thus finds that Mr. Randazza has  
5 shown cause to be readmitted to the bar of this Court.

6 **IV. CONCLUSION**

7 It is therefore ordered that Mr. Randazza's renewed petition for reinstatement (ECF  
8 No. 14) is granted.

9 It is further ordered that Mr. Randazza's emergency motion for a hearing (ECF No.  
10 17) is denied as moot.

11 DATED THIS 9<sup>th</sup> day of June 2020.

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14 MIRANDA M. DU  
15 CHIEF UNITED STATES DISTRICT JUDGE  
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## **Exhibit 18**

Order of Termination of Proceedings, U.S. Court of Appeals for the Federal Circuit  
(May 28, 2020)

FILED  
U.S. COURT OF APPEALS FOR  
THE FEDERAL CIRCUIT

MAY 28 2020

PETER R. MARKSTEINER  
CLERK

NOTE: This order is nonprecedential.

United States Court of Appeals  
for the Federal Circuit

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IN RE MARC J. RANDAZZA,  
*Respondent.*

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2018-MA014

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O R D E R

In light of the court's January 3, 2020 order and Marc J. Randazza's submission received May 26, 2020,

IT IS ORDERED THAT:

These proceedings are terminated.

FOR THE COURT

/s/ Peter R. Marksteiner  
Peter R. Marksteiner  
Clerk of Court